



AML and Account Verification Policy

V.5 – August 2022

M4Markets is a trade name of Harindale Ltd (*formerly known as ICC Intercertus Capital Ltd and operating as Axiance*) and licensed by the Cyprus Securities and Exchange Commission (CySEC) under license number 301/16 in accordance with the Markets in Financial Instruments Directive (MiFID II).

The Company

M4Markets is a trade name of Harindale Ltd (hereinafter called as the “Company” or “M4Markets” or “we” or “our” or “us”) which is formerly known as ICC Intercertus Capital Ltd and operating as Axiance. Harindale Ltd is an Investment Firm incorporated and registered under the laws of the Republic of Cyprus, with registration number HE 346662. The Company is authorized and regulated by the Cyprus Securities and Exchange Commission (hereafter the “CySEC”) under the license number 301/16.

Our Aim

M4Markets is actively working to prevent all Financial Crime (FC) related activities such as Money Laundering (ML) and Terrorist Financing (TF). To prevent such activities the Company constantly works to verify the identity of all clients, and where necessary to assist government agencies and financial intelligent units (FIUs) with their effort to combat ML and TF. M4Markets does everything required to ensure compliance with laws against ML and TF.

How to verify your M4Markets account

Verification is a mandatory part of the process of registering for the M4Markets platform. Regulatory requirements dictate that we verify each new M4Markets account without any exemptions. This keeps our platform secure and prevents untoward activity.

Upon the completion of your account verification, you will be able to enjoy the full benefits of the M4Markets platform. To verify your account, you are required to upload both a proof of identity (POI) and proof of address (POA) as well as any other documents needed as part of the registration process or thereafter. Only after you provide us all the necessary documents will your verification process begin.

To identify our clients, M4Markets may ask for personal data and take steps to confirm the accuracy of this information using various verification tools. It may take from 24 hours up to couple of workdays to complete this process.

Personal Data

The personal data we receive is handled in accordance with the provisions of the Privacy Policy and applicable regulations. Please note that the reason for the identification procedure is to comply with laws against ML and TF, as these are emanated from the

various regulatory requirements. Accordingly, your cooperation and understanding of these steps is necessary to effectively combat money laundering and financing terrorism.

What is needed from your end

To be compliant with the regulatory requirements and at the same time be able verify your account, we have in place the following practices:

- a. all clients must submit valid identification documents (*i.e. all info should be visible, valid and not expired, clear, without cropping*);
- b. records of clients' identification documents are maintained by M4Markets

The type of documents needed will depend on the type of your account

Personal Accounts	Corporate Accounts
<p>Proof of Identity (POI):</p> <p>Your POI document should contain your name, date of birth, a clear photograph, issue date, and if it has an expiry date, that should be visible as well. A passport is the preferred proof of identity, as it is the document which will be quickest for the M4Markets team to process. Alternatively, you can use a driving license or any other government-issued ID. If the document is double-sided, and there's relevant information on the backside, make sure to upload that as well.</p> <p>Proof of Address (POA):</p> <p>Your POA document should contain your full name, residential address and an issue date, which should be no older than 6 months. The name or logo of the issuer should also be clearly visible. Please make</p>	<ul style="list-style-type: none"> • Certificate of Incorporation • Certificate of Director(s) and Secretary • Certificate of Registered Office • Certificate of Beneficiary Owner(s)/Shares certificate • Certificate of Incumbency/Good Standing • Memorandum & Articles of Association of the Company • The Company's latest signed audited financial statements or the Company's latest management accounts. • POI and POA for every ultimate beneficial owner that owns 25% or more. • POI and POA for all the directors of the company. • A resolution by the Board of Directors (BOD) of the Company for the opening of a trading account with M4Markets and to whom they

<p>sure to scan your document against a different-colored background, so that all four corners are visible.</p> <p>Acceptable POA includes bank statement, credit card statement, electricity, water or gas bill, phone or internet bill. council tax bill, tax letter or bill, letter from your local municipality that contains your address.</p>	<p>grant authority to operate the trading account.</p> <ul style="list-style-type: none"> • Full KYC documentation of the person who will operate the trading account and at least one Director of the Company. Specifically: <p><i>*Important*</i> The Company's documentation must be recent and original or certified as true copies of the original.</p>
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Note: the above documents prescribe our minimum requirements. Company reserves its right to request any further documents deemed necessary.

Funding your account

Credit/Debit Card

If funding with a credit or debit card, a client should send us a copy of both sides of their card. The copy needs to have the name, date of expiry, last four digits on the front and signature on the back clearly visible. We need this to be certain that all transfers are made only to and from your card.

For your security, we advise you to cover the card number except for the last four digits and the CVV code on the back.

Bank Wire Confirmation

If a client deposits with bank wire, we need to see the confirmation.

Additional queries

If you have any questions with reference to the documents stated above, please contact our support team at support@m4markets.eu. Documents should be provided as soon as possible so that we can avoid any delays to your transactions. KYC documents should be scanned or photographed with all edges visible and sent to support@m4markets.eu



E: support@m4markets.eu

M4Markets is a trade name of Harindale Ltd (formerly known as ICC Intercertus Capital Ltd and operating as Axiance) with registration no. HE346662 and registered address at Magnum Business Center, 78 Spyrou Kyprianou Avenue, Limassol, 3076, Cyprus.

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